

# TRANSMITTAL FORM

to be used for all correspondence after initial filing)

## Complete if Known

Application No.	10/829,399
Filing Date	April 22, 2004
First Named	Han-gyoo KIM
Examiner Name	Not Yet Assigned
Group Art Unit	2153

Total Number of Pages in This Submission **71** Attorney Docket No. 34255/US/2(473628-27)

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<b>Return postcard</b>
<input checked="" type="checkbox"/> Form SB/08A and SB/08B with references	<input type="checkbox"/> CD, No. of CD(s)	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52, 1.121(b)(3), 1.125		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	R. Michael Ananian, Reg. No. 35,050 DORSEY & WHITNEY LLP 555 California Street, Suite 1000 San Francisco, CA 94104-1513 (415) 781-1989	Customer Number 32940
Signature	<i>R. Michael Ananian</i>	
Date	February 27, 2006	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with Sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:				2/28/06
Typed or printed name	Vikki Athen			
Signature	<i>Vikki Athen</i>	Date	February 28, 2006	

PATENT



Attorney Docket No. 34255/US/2(473628-27)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Han-gyoo KIM

Application No. 10/829,399

Filed: April 22, 2004

For: **SCALABLE SERVER  
ARCHITECTURE BASED ON  
ASYMMETRIC 3-WAY TCP**

Examiner: Not Yet Assigned

Art Unit: 2153

Confirmation No.: 5407

Certificate of Mailing

I hereby certify that this correspondence is being deposited in the United States Mail, postage prepaid in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on Feb 28, 2006.

By: Vikki Athen

Vikki Athen

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
SUBMITTED PRIOR TO FIRST OFFICIAL ACTION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant submits herewith patents and publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. While this Statement may be "material" pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying forms PTO/SB/08A and 08B. In accordance with 1273 Off. Gaz. Pat. Off. 1, 8/5/2003, no copies of U.S. patents and U.S. published applications are enclosed. Copies of all other references are enclosed. The attached documents were first cited in International Search Reports on related PCT applications.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and MPEP § 609 and consideration of the foregoing plus the prompt return of a copy of the

enclosed Form PTO/SB/8A & 08/B with the Examiner's initials in the left column in accordance with MPEP 609 are respectfully requested.

This Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. §1.97(b)(3); accordingly, no fees are required. However, the Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-2319 (Order No. 34255/US/2(473628-27)).

Respectfully submitted,

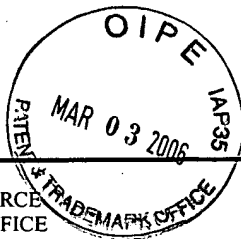
DORSEY & WHITNEY LLP



R. Michael Ananian. Reg. No. 35,050

Dated: Feb 27, 2006

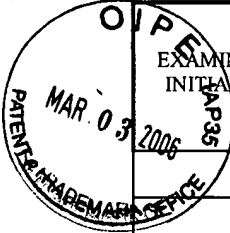
Customer No. 32940  
555 California Street; Suite 1000  
San Francisco, CA 94104-1513  
Telephone (650) 857-1717  
Facsimile (650) 857-1288



PTO/SB/08A U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Substitute for form 1449A/PTO		ATTY. DOCKET NO.: 34255/US/2	APPLICATION NO.: 10/829,399
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		FIRST NAMED INVENTOR: Han-gyoo KIM	
		FILING DATE: April 22, 2004	ART UNIT: 2153
Sheet	1	of	2
EXAMINER NAME: Not Yet Assigned			

### U.S. PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	DOCUMENT NUMBER	PUBLICATION DATE MM-DD-YYYY	Name of PATENTEE or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		2003/0028614 A1	02-06-2003	Jeon	
		6,167,490	12-26-2000	Levy et al.	
		5,838,916	11-17-1998	Domenikos et al.	
		6,128,690	10-03-2000	Purcell et al.	
		5,999,808	12-07-1999	Ladue	
		6,907,581	10-2004	Starr et al.	
		6,389,432	05-2002	Pothapragada et al.	
		6,356,915	03-2002	Chrchetkine et al	
		6,594,677	06-2003	Davis et al	
		6,216,202	04-2001	E'Errico, Matthew J.	
		5,556,331	10-1996	Irwin et al.	
		6,529,996	03-2003	Nguyen et al.	
		5,987,627	11-1999	Raqlings, III, Joseph H.	
		6,366,988	04-2002	Skiba et al.	
		5,463,772	10-1995	Thompson et al.	
		6,834,326	12-2004	Wang et al.	
		6,732,104	05-2004	Weber, Bret S.	
		6,941,576	09-2005	Amit, Mati	
		6,518,965	02-2003	Dye et al.	
		6,393,569	05-2002	Orenshteyn, Alexander S.	
		5,812,930	09-1998	Zavrel, Robert Joseph	
		5,889,942	03-1999	Orenshteyn, Alexander S.	
		6,327,594	12-2001	Van Huben et al.	

PTO/SB/08A U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Substitute for form 1449A/PTO		ATTY. DOCKET NO.: 34255/US/2		APPLICATION NO.: 10/829,399			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		FIRST NAMED INVENTOR: Han-gyoo KIM					
		FILING DATE: April 22, 2004		ART UNIT: 2153			
<b>FOREIGN PATENT DOCUMENTS</b>							
	EXAMINER INITIALS*	Cite No. <sup>1</sup>	FOREIGN PATENT DOCUMENT Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	PUBLICATION DATE MM-DD-YYYY	Name of PATENTEE or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
			KR 10-2001-0088528	09-28-2001	Necster Co.		
			KR 10-2000-72493	12-05-2000	Leem Dong Hee		
			DE 19610840	09-25-1997	Siemens AG		
			JP 11-114224	04-27-1999	Takeshi		
			JP 10-113469	05-06-1998	Takeshi		
			JP 10-271562	10-06-1998	Taito Corp		
			DE 10038142	02-15-2001	Samsung Elec. Co.		
	EXAMINER				DATE CONSIDERED		

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

